

## POSZ &amp; BETHARDS, PLC

ATTORNEYS AT LAW

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SPECIALIZING IN PATENTS, TRADEMARKS &amp; COPYRIGHTS

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AND COPYRIGHT MATTERS  
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PRACTICE LIMITED TO FEDERAL PATENT, TRADEMARK  
AND COPYRIGHT MATTERS(703) 707-9110  
FAX (703) 707-9112R. LOUIS BREEDEN +  
JEFF K. BERGER ++  
KAY H. HILL ++++ SENIOR PATENT AGENT  
++ PATENT AGENT  
+++ TECHNICAL SPECIALIST

FAX RECEIVED

MAR 20 2003

## FACSIMILE TRANSMISSION

Date: 03/20/2003

TECHNOLOGY CENTER 2800

Pages: 1 of 7

To: Examiner Dana Farahani

From: David Posz

Company: USPTO, Technology Center 2800

Fax No.: 703-872-9318

Applicant(s): SUZUKI et al.

Application No.: 09/675,209

Filed: September 29, 2000

Title: SEMICONDUCTOR DEVICE AND  
METHOD FOR MANUFACTURING  
THE SAME

Attorney Docket No.: 01-085-RCE

Group Art Unit: 2814

Examiner: FARAHANI, DANA

## CERTIFICATE OF FAX TRANSMISSION

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Typed Name: DAVID G. POSZ

Signature: DAVID G. POSZ Reg. No. 37,701

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This Form Based on PTO/SB/21

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/675,209
Filing Date	September 29, 2000
First Named Inventor	SUZUKI et al.
Group Art Unit	2814
Examiner Name	FARAHANI, DANA
Attorney Docket Number	01-085-RCE

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input checked="" type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/89) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> To Convert a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Change of Correspondence Address	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Request of Refund	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

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MAR 20 2003

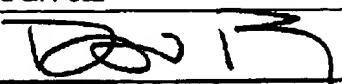
TECHNOLOGY CENTER 2800

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Posz & Bethards, PLC
Signature	
Date	March 20, 2003

## CERTIFICATE OF FAX TRANSMISSION

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Type or printed name	David G. Posz		
Signature		Date	March 20, 2003

PTO/SB/17 (10-01)

Approved for use through 10/31/2002. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2003

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 320

## Complete if Known

Application Number	09/675,209
Filing Date	September 29, 2000
First Named Inventor	SUZUKI et al. <b>FAX RECEIVED</b>
Examiner Name	FARAHANI, DANA
Group/Art Unit	2814 MAR 20 2003
Attorney Docket No.	01-085-RCE TECHNOLOGY CENTER 2800

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

50-1147

Deposit Account Number

POSZ &amp; BETHARDS, PLC

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

2.  Payment Enclosed:

Check  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (S)	Small Entity Fee Code (S)	Fee Description	Fee Paid
1001	750	2001 375 Utility filing fee	
1002	330	2002 165 Design filing fee	
1003	620	2003 260 Plant filing fee	
1004	750	2004 375 Reissue filing fee	
1005	160	2005 80 Provisional filing fee	

## SUBTOTAL (1) (\$ 0

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from Below	Fee Paid
Independent Claims			
Multiple Dependent			

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (S)	Small Entity Fee Code (S)	Fee Description
1202	18	2202 9 Claims in excess of 20
1201	84	2201 42 Independent claims in excess of 3
1203	280	2203 140 Multiple dependent claim, if not paid
1204	84	2204 42 **Reissue independent claims over original patent
1205	18	2205 9 **Reissue claims in excess of 20 and over original patent

## SUBTOTAL (2) (\$ 0

## 3. ADDITIONAL FEES

Large Entity Fee Code (S)	Small Entity Fee Code (S)	Fee Description	Fee Paid
1051	130	2051 65 Surcharge - late filing fee or oath	
1052	50	2052 25 Surcharge - late provisional filing fee or cover sheet	
1053	130	1053 130 Non-English specification	
1812	2,520	1812 2,520 For filing a request for reexamination	
1804	920*	1804 920* Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805 1,840* Requesting publication of SIR after Examiner action	
1251	110	2251 55 Extension for reply within first month	
1252	410	2252 205 Extension for reply within second month	
1253	930	2253 480 Extension for reply within third month	
1254	1450	2254 725 Extension for reply within fourth month	
1255	1970	2255 985 Extension for reply within fifth month	
1401	320	2401 180 Notice of Appeal	
1402	320	2402 180 Filing a brief in support of an appeal	
1403	280	2403 140 Request for oral hearing	
1451	1,510	1451 1,510 Petition to institute a public use proceeding	
1452	110	2452 55 Petition to revive - unavoidable	
1453	1,300	2453 650 Petition to revive - unintentional	
1501	1300	2501 650 Utility issue fee (or reissue)	
1502	470	2502 235 Design issue fee	
1503	630	2503 315 Plant issue fee	
1480	130	1460 130 Petitions to the Commissioner	
1807	50	1807 50 Petitions related to provisional applications	
1806	180	1806 180 Submission of Information Disclosure Stmt	
8021	40	8021 40 Recording each patent assignment per property (times number of properties)	
1809	750	2809 375 Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810 375 For each additional invention to be examined (37 CFR § 1.129(b))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

## SUBTOTAL (3)

(\$ 320

## SUBMITTED BY

Complete if applicable

Name (Print/Type)	DAVID G. POSZ	Registration No. (Attorney/Agent)	37,701	Telephone	(703) 707-9110
Signature				Date	March 20, 2003

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MAR 20 2003

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1005	180	2005 80 Provisional filing fee	
SUBTOTAL (1) (\$ 0)			

## 2. EXTRA CLAIM FEES

Extra Claims	Fee from Below	Fee Paid
Total Claims <input type="checkbox"/> -20**= 0	<input type="checkbox"/> x 18 = 0	<input type="checkbox"/> - 0
Independent Claims <input type="checkbox"/> - 5**= 0	<input type="checkbox"/> x 84 = 0	<input type="checkbox"/> - 0

Multiple Dependent

Large Entity	Small Entity	Fee Description
Fee Code (\$)	Fee Code (\$)	
1202	18	2202 9 Claims in excess of 20
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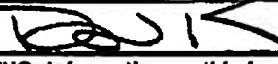
Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

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